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PATENT  
Attorney Docket No.: 020512-000120US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On October 13, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Arti Arora, et al.

Application No.: 09/823,956

Filed: March 30, 2001

For: SYSTEM AND METHOD FOR  
IMPLEMENTING ELECTRONIC  
MARKETS

Art Unit: 2161

PRELIMINARY AMENDMENT

**RECEIVED**  
OCT 12 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

**IN THE SPECIFICATION:**

Attached is a Substitute Specification. It is requested that it be substituted for the originally filed specification.

Also attached is a Comparison Copy, which shows the changes made to the originally filed specification and incorporated in the Substitute Specification.